

# Unicorn Application Form Overseas (O) Shares



Please complete this form with a ball point pen using BLOCK CAPITALS

The form should be returned to **Unicorn Asset Management Limited, Hamilton Centre, Rodney Way, Chelmsford, Essex, CM1 3BY**

The form should be read in conjunction with the relevant Key Investor Information Document and Supplementary Information Document

If you have any questions while completing this form, please call 0845 026 4287 between 08:30 and 16:30 on any business day.

Your Details										
Title		Surname								
Forenames in full										
Account Designation (optional)										
Permanent Address										
Post code					Telephone					
Email address					Date of Birth					
Existing Account Number (if applicable)										
National Insurance Number										If you do not have a National Insurance number please tick this box

Joint Holder Details (if applicable)									
Title		Surname							
Forenames in full									
Permanent Address									
Post code					Telephone				
Email address					Date of Birth				

**Investment**

Please instruct how you would like your investment to be allocated

	Initial Investment	Reinvest Income Yes/No
UK Growth	£	
Mastertrust	£	
Outstanding British Companies	£	Yes *
UK Income – Income shares	£	
UK Income – Accumulation shares	£	Yes *
UK Smaller Companies	£	
UK Ethical – Income shares	£	
UK Ethical – Accumulation shares	£	Yes *
Total	£	

Lump sums must be for a minimum of £2,500 (minimum of £1,000 per fund).

To settle your purchase please make your payment by bank transfer to

Bank Name: Barclays Bank  
Account Name: Unicorn Dealing Account  
Account Number: 03236862  
Sort Code: 20-00-00  
Reference: Your Name

A contract note will be sent to you following placement of your deal

For regular savings please complete the Direct Debit form overleaf. If you have not completed the 'Reinvest my income' box above, any income will be automatically reinvested.

\*Income from Accumulation Shares is automatically reinvested.

**Bank Mandate**

Please supply bank details into which we will pay any income or redemption proceeds.

Name of bank / building society	
Address	
Post Code	
Account Name	
Account Number	
Sort Code	
Building society reference number	

**Financial Advisor Details**

To be completed by an introducing agent

Name of Agent			
Agent reference		FCA Number	
Contact Name		Contact Telephone No:	
		Contact Email:	

Our default is that the intermediary has given advice on the enclosed investment (s), please note we must be advised at the point of each subsequent investment if advice has not been given. Please confirm if no advice has been given, please leave blank if you have advised the enclosed transaction(s)

No advice has been given

Authorised Signature: .....

<b>Initial &amp; Rebate Commissions,</b> (To be completed by Intermediaries where applicable and unless completed no Initial or Rebate payments will be made)		Financial Advisor Stamp (including address)
Initial Commission payable to intermediary	% (if applicable)	
Discount on remaining retained Initial Commission	% (if applicable)	
Initial Commission due to Fund Manager	% (if applicable)	
Rebate commission due to Intermediary	% (if applicable)	
Bank account for Commission Payments:		
Bank Name:		
Sort Code:		
Account No:		
Account Name:		
Specific terms relating to relating to the Initial and Rebate amounts must be agreed with Unicorn Asset Management or its representative prior to submission of this application form		

## Identity Verification

Together with Anti Money Laundering Documentation, for Corporate investors an up-to-date authorised signature list, Memorandum and Articles of Association or a Certificate of Incorporation (all documents must be the originals or certified true copies). We have certain responsibilities to verify the identity and permanent address of our clients under UK anti money laundering legislation. If you are resident in the UK we will undertake an electronic anti money laundering check of the personal data you have provided. The check will be undertaken by a reputable referencing agency, which will retain a record of that check. This information may be used by the ACD, Registrar and depository for fraud prevention purposes. Details of the service we use are available upon request. Where an electronic check of personal data is not appropriate or acceptable we will ask you to provide documents to establish the correctness of your personal details. These will generally be a certified copy of your passport or photo-card driving licence together with a copy of a recent bank statement or utility bill dated within the last three months, but other documents may be required by us depending on the circumstances. We reserve the right not to make payments to third parties unless this is in relation to a deceased account, and will not allow payments from any other party.

## Declaration

### I declare that

- I am the beneficial owner of this investment
- I have read, understood and retained the Key Investor Information Document (KIID) in respect of each share class in which I wish to invest, in conjunction with the Supplementary Information Document (SID)
- I accept the terms and conditions
- I am not a national, Citizen or resident of the United States of America.
- I am not a corporation or partnership organized under the laws of the United States of America or having a principal place of business in the United States of America
- I am not applying for shares in order to offer, sell or transfer such shares to a U.S person, as defined in the prospectus, either directly or indirectly.

This application form has been completed to the best of my knowledge but should circumstances change, I will inform you immediately.

Signatures

Date

	DD	MM	YY
	DD	MM	YY

Instruction to your bank or building society to pay by Direct Debit

Please fill in the whole form using a ball point pen and send it to

Unicorn Asset Management Limited  
 Hamilton Centre  
 Rodney Way  
 Chelmsford  
 Essex  
 CM1 3BY

Service user number

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Name(s) of account holder(s)


Bank/building society account number

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Branch sort code

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Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

**Instruction to your bank or building society**

Please pay Unicorn Asset Management Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Unicorn Asset Management Limited and, if so, details will be passed electronically to my bank/building society.

Signature(s)
Date

Reference (to be completed by Unicorn)

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Banks and building societies may not accept Direct Debit Instructions for some types of account



Direct Debit Guarantee -This guarantee should be detached and retained by the payer.

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Unicorn Asset Management Limited will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request Unicorn Asset Management Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Unicorn Asset Management Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
  - If you receive a refund you are not entitled to, you must pay it back when Unicorn Asset Management Limited asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

