

Unicorn Application Form Overseas (O) Shares



Please complete this form with a ball point pen using BLOCK CAPITALS

The form should be returned to **Unicorn Asset Management Limited, Springfield Lodge, Chelmsford, Essex, CM2 5PW**

The form should be read in conjunction with the relevant Key Investor Information Document and Supplementary Information Document

If you have any questions while completing this form, please call 0845 026 4287 between 08:30 and 16:30 on any business day.

Your Details										
Title		Surname								
Forenames in full										
Account Designation (optional)										
Permanent Address										
Post code					Telephone					
Email address					Date of Birth					
Existing Account Number (if applicable)										
National Insurance Number										If you do not have a National Insurance number please tick this box

Joint Holder Details (if applicable)									
Title		Surname							
Forenames in full									
Permanent Address									
Post code					Telephone				
Email address					Date of Birth				

Investment

Please instruct how you would like your investment to be allocated

	Initial Investment	Reinvest Income Yes/No
UK Growth	£	
Mastertrust	£	
Outstanding British Companies	£	Yes *
UK Income – Income shares	£	
UK Income – Accumulation shares	£	Yes *
UK Smaller Companies	£	
UK Ethical – Income shares	£	
UK Ethical – Accumulation shares	£	Yes *
Total	£	

Lump sums must be for a minimum of £10,000 per fund.

If you have not completed the 'Reinvest my income' box above, any income will be automatically reinvested together with any tax credits. If you wish to be paid income, you must complete the Income Mandate section below so that payment can be made directly into your bank or building society account.

*Income from Accumulation Shares is automatically reinvested.

Income Mandate

To be completed if you want to have your income payments paid out to you. Income will automatically be re-invested if this section is not completed.

Name of bank / building society	
Address	
Post Code	
Account Name	
Account Number	
Sort Code	
Building society reference number	

Financial Advisor Details

To be completed by an introducing agent

Name of Agent			
Agent reference		FCA Number	
Contact Name		Contact Telephone No:	
		Contact Email:	

Our default is that the intermediary has given advice on the enclosed investment (s), please note we must be advised at the point of each subsequent investment if advice has not been given. Please confirm if no advice has been given, please leave blank if you have advised the enclosed transaction(s)

No advice has been given

Authorised Signature:

Initial & Rebate Commissions, (To be completed by Intermediaries where applicable and unless completed no Initial or Rebate payments will be made)		Financial Advisor Stamp (including address)
Initial Commission payable to intermediary	% (if applicable)	
Discount on remaining retained Initial Commission	% (if applicable)	
Initial Commission due to Fund Manager	% (if applicable)	
Rebate commission due to Intermediary	% (if applicable)	
Bank account for Commission Payments:		
Bank Name:		
Sort Code:		
Account No:		
Account Name:		

Specific terms relating to relating to the Initial and Rebate amounts must be agreed with Unicorn Asset Management or its representative prior to submission of this application form

Identity Verification

Together with Anti Money Laundering Documentation, for Corporate investors an up-to-date authorised signature list, Memorandum and Articles of Association or a Certificate of Incorporation (all documents must be the originals or certified true copies). We have certain responsibilities to verify the identity and permanent address of our clients under UK anti money laundering legislation. If you are resident in the UK we will undertake an electronic anti money laundering check of the personal data you have provided. The check will be undertaken by a reputable referencing agency, which will retain a record of that check. This information may be used by the ACD, Registrar and depository for fraud prevention purposes. Details of the service we use are available upon request. Where an electronic check of personal data is not appropriate or acceptable we will ask you to provide documents to establish the correctness of your personal details. These will generally be a certified copy of your passport or photo-card driving licence together with a copy of a recent bank statement or utility bill dated within the last three months, but other documents may be required by us depending on the circumstances. We reserve the right not to make payments to third parties unless this is in relation to a deceased account, and will not allow payments from any other party.

Declaration

I declare that

- I am the beneficial owner of this investment
- I have read, understood and retained the Key Investor Information Document (KIID) in respect of each share class in which I wish to invest, in conjunction with the Supplementary Information Document (SID)
- I accept the terms and conditions
- I am not a national, Citizen or resident of the United States of America.
- I am not a corporation or partnership organized under the laws of the United States of America or having a principal place of business in the United States of America
- I am not applying for shares in order to offer, sell or transfer such shares to a U.S person, as defined in the prospectus, either directly or indirectly.

This application form has been completed to the best of my knowledge but should circumstances change, I will inform you immediately.

Signatures

Date

	DD	MM	YY
	DD	MM	YY

