

Unicorn Asset Management Limited - Privacy Statement

This Privacy Statement explains how and why we use personal information. We take the use of personal information seriously. This policy contains some important information, please take time to read its contents.

Personal information is information relating to an individual who can be identified from that information or from that data and other information held by Unicorn or its business partners.

The information that we hold about you includes basic personal information such as first names, surnames, address, email address or telephone numbers.

Use of personal information

Unicorn is committed to respecting and protecting your personal information. We will only collect and use it where we have a lawful basis to do so in accordance with The Data Protection Act 2018 or other regulatory requirements such as:

- in order to comply with our regulatory requirements to identify our customers;
- to enable us to administer our products, in accordance with regulatory requirements and our terms and conditions;
- to adhere to all other regulatory responsibilities or our requirements relating to law enforcement agencies, HMRC or any other legal requirements;
- where we have obtained the necessary consent to use your personal information.

Protecting your information

We are committed to keeping your personal information secure. Unicorn has implemented effective policies, procedures and security measures to protect your personal information from being accessed or used by any unauthorised individual, lost, stolen, amended or disclosed.

These include restricting access to your personal information to employees of Unicorn, its administrators or any other third party which has a legitimate need to access the information.

Our employees, administrators and other third parties are subject to a strictly enforced duty of confidentiality.

Retention of information

We retain personal information only as long as is necessary for us to do so in accordance with our legitimate needs to hold the information. There may be times where we need to hold certain information for longer periods of time, this would typically be for regulatory, tax, accounting or in the event of actual or potential litigation.

Personal information held outside of the UK

Typically, Unicorn does not transfer personal information outside of the UK. In the unlikely event that the firm were to do so, we would take appropriate steps to ensure that privacy rights are protected. To do so, we would only transfer data to countries which provide adequate levels of protection to protect personal information. Were we to receive requests for access to personal information from non UK regulatory bodies, tax authorities or law enforcement agencies, we would take appropriate steps to validate the request prior to disclosure.

Important: Your rights

You have legal rights under the DPA which can usually be exercised free of charge.

These rights include:

- The right to obtain information regarding the processing of your personal information and access to the personal information we hold about you. This is known as a Data Subject Access Request or DSAR.
- The right to withdraw your consent to the processing of your personal information, however, we may still hold and process your personal information where we have a legal basis or regulatory requirement to do so.
- In some cases, you have the right to receive certain personal information in a structured, commonly used machine- readable format. This right relates only to personal information provided directly to Unicorn.
- The right to request that we correct or amend your personal information if it is incomplete or incorrect.
- The right to request that we delete and erase your personal information in situations where we are not required to retain it for legal or regulatory purposes.
- The right to object or request us to restrict our processing of your personal information unless we are required or entitled to continue to do so for legal or regulatory reasons to do so.
- The right to complain to the Information Commissioner (ICO) if you believe that we have infringed your rights under the DPA.

To make a DSAR, please contact the Data Protection Officer: Philip John, contactable at dpo@unicornam.com or by post at Unicorn Asset Management Limited, Preachers Court, The Charterhouse, 15 Charterhouse Square, London, EC1M 6 AU