

# Unicorn Junior ISA Application Form Retail (A) Shares



Please complete this form with a ball point pen using BLOCK CAPITALS

The form should be returned to **Unicorn Asset Management, Hamilton Centre, Rodney Way, Chelmsford, Essex CM1 3BY**

The form should be read in conjunction with the Key Investor Information Document, Supplementary Information Document and Junior ISA Terms and Conditions

If you have any questions while completing this form, please call 0345 026 4287 between 08:30 and 16:30 on any business day.

Child's Details			
The Child will be the beneficial owner of the Junior ISA			
Title		Surname	
Forenames in full			
Permanent Address			
Post code		Existing Account Number (if applicable)	
National Insurance Number (if issued)		Telephone	
Email address		Date of Birth	

Your Details			
Where the Child is under 16 we require the name and address of a person who has parental responsibility for the Child and with whom we will communicate about the investment. If the Child is 16 or over and wishes to be the applicant and registered contact then this section can be left blank.			
Title		Surname	
Forenames in full			
Permanent Address			
Post code		Telephone	
Email address		Date of Birth	

Details of person providing the money for the investment			
If the person providing the money for the investment is different from either of the above, e.g. Grand Parent, their details are required.			
Title		Surname	
Forenames in full			
Permanent Address			
Post code		Telephone	
Email address		Date of Birth	

Investment
Please instruct how you would like your investment to be allocated

	Initial Investment	Monthly Contribution	Reinvest Income Yes/No
UK Growth	£	£	
Mastertrust	£	£	
Outstanding British Companies	£	£	Yes *
UK Income – Income shares	£	£	
UK Income – Accumulation shares	£	£	Yes *
UK Smaller Companies	£	£	
UK Ethical – Income shares	£	£	
UK Ethical – Accumulation shares	£	£	Yes *
<b>Total</b>	<b>£</b>	<b>£</b>	

Lump sums must be for a minimum of £1,000 up to a maximum of £9,000 (minimum of £1,000 per fund). The minimum monthly amount for regular investors is £100 per month and the maximum is £750 (minimum of £100 per fund). For regular savings please complete the Direct Debit form overleaf and enclose a cheque, payable to Unicorn Asset Management Limited, for your first monthly contribution. If you have not completed the 'Reinvest my income' box above, any income will be automatically reinvested together with any tax credits. If you wish to be paid income, you must complete the Income Mandate section below so that payment can be made directly into your bank or building society account.

\*Income from Accumulation Shares is automatically reinvested.

Income Mandate	
To be completed if you want to have your income payments paid out to you. Income will automatically be re-invested if this section is not completed.	
Name of bank / building society	
Address	
Post Code	
Account Name	
Account Number	
Sort Code	
Building society reference number	

Financial Advisor Details			
To be completed by an introducing agent			
Name of Agent			
Agent reference		FCA Number	
Contact Name		Contact Telephone No:	
		Contact Email:	
<p>Our default is that the intermediary has given advice on the enclosed investment (s), please note we must be advised at the point of each subsequent investment if advice has not been given. Please confirm if no advice has been given, please leave blank if you have advised the enclosed transaction(s)</p> <p>No advice has been given <input type="checkbox"/></p> <p>Authorised Signature: .....</p>			

Identity Verification
<p>Together with Anti Money Laundering Documentation, for Corporate investors an up-to-date authorised signature list, Memorandum and Articles of Association or a Certificate of Incorporation (all documents must be the originals or certified true copies). We have certain responsibilities to verify the identity and permanent address of our clients under UK anti money laundering legislation. If you are resident in the UK we will undertake an electronic anti money laundering check of the personal data you have provided. The check will be undertaken by a reputable referencing agency, which will retain a record of that check. This information may be used by the ACD, Registrar and depository for fraud prevention purposes. Details of the service we use are available upon request. Where an electronic check of personal data is not appropriate or acceptable we will ask you to provide documents to establish the correctness of your personal details. These will generally be a certified copy of your passport or photo-card driving licence together with a copy of a recent bank statement or utility bill dated within the last three months, but other documents may be required by us depending on the circumstances. We reserve the right not to make payments to third parties unless this is in relation to a deceased account, and will not allow payments from any other party.</p>

## Declaration

### I declare that

- I am 16 years of age or over.
- I am the Child/I have parental responsibility for the Child (delete which does not apply).
- I do not /The Child does not have a Child Trust Fund (delete which does not apply).
- I will be the registered contact for this Stocks and Shares Junior ISA.
- The Child is resident and ordinarily resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a civil partnership with a UK Crown servant.
- I have not, and will not, subscribe to another Stocks and Shares Junior ISA for this Child.
- I am not aware that this Child has another Stocks and Shares Junior ISA.
- I am not aware of other Junior ISA subscriptions that will result in Junior ISA subscriptions for this Child exceeding the annual limit.
- I have not subscribed and I will not knowingly make subscriptions to Junior ISAs for this Child that will, when aggregated with other subscriptions, result in the overall subscription limit being exceeded for the tax year in which this application is made and in any subsequent tax year.
- I have a copy of the Key Investor Information Document and Junior ISA Terms & Conditions and have kept them for my records.

### I authorise Unicorn Asset management to:

- Hold my cash subscriptions, Junior ISA investments, interest, dividends and any other rights or proceeds in respect of those investments
- To make, on the Child's behalf any claims to relief from tax in respect of rights of the Junior ISA investments

This application form has been completed to the best of my knowledge but should circumstances change, I will inform you immediately.

Signature

Date

	DD	MM	YY
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Your cheque should be made payable to **UNICORN ASSET MANAGEMENT LIMITED**

Please fill in the whole form using a ball point pen and send it to

Service user number

Unicorn Asset Management  
Hamilton Centre  
Rodney Way  
Chelmsford  
Essex  
CM1 3BY

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Name(s) of account holder(s)


Bank/building society account number

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Branch sort code

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Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

Instruction to your bank or building society

Please pay Unicorn Asset Management Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Unicorn Asset Management Limited and, if so, details will be passed electronically to my bank/building society.

Signature(s)
Date

Reference (to be completed by Unicorn)

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Banks and building societies may not accept Direct Debit Instructions for some types of account



Direct Debit Guarantee -This guarantee should be detached and retained by the payer.

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Unicorn Asset Management Limited will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request Unicorn Asset Management Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Unicorn Asset Management Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
  - If you receive a refund you are not entitled to, you must pay it back when Unicorn Asset Management Limited asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.